

ATTORNEY DOCKET NO. 16057.0003U2  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

**Richard E. Pickering**

Serial No.

**10/009,096**

Filing Date: **May 17, 2000**

Confirmation No: **5532**

For: **"SYSTEM AND METHOD FOR AUTOMATED  
TRACKING OF FINANCIAL TRANSACTIONS"**

Group Art Unit: Unassigned

Examiner: Unassigned

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

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Commissioner for Patents  
BOX PCT (IPEA/EP)  
Washington, D.C. 20231

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NEEDLE & ROSENBERG, P.C.  
Suite 1200, The Candler Building  
127 Peachtree Street, N.E.  
Atlanta, Georgia 30303-1811

June 24, 2002

Sir:

In response to the February 22, 2002 Notification of Missing Requirements Under 35  
U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), enclosed are:

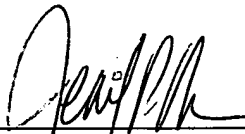
1. Response to the Notification of Missing Requirements Under 35 U.S.C.  
371 in the United States Designated/Elected Office (DO/EO/US);
2. Declaration/Power of Attorney; and
3. a copy of the Notice.

ATTORNEY DOCKET NO. 16057.0003U2  
SERIAL NO. 10/009,096

No other fee is believed to be due; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

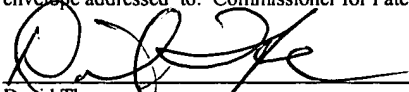
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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL924197908US in an envelope addressed to: Commissioner for Patents, Box PCT (IPEA/EP), Washington, D.C. 20231, on the date shown below.

  
\_\_\_\_\_  
David Thorpe

6-24-02  
Date

W143730



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/009,096	Richard E. Pickering	16057.0003U2

INTERNATIONAL APPLICATION NO.	
PCT/US00/13716	
I.A. FILING DATE	PRIORITY DATE
05/17/2000	04/06/2000

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**RECEIVED**

MAR 04 2002

NEEDLE &amp; ROSENBERG

CONFIRMATION NO. 5532

371 FORMALITIES LETTER



\*OC000000007506856\*

Date Mailed: 02/22/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

WJN/DAF

<b>DOCKETED</b>	
By: <u>adm</u>	Date: <u>3/5/02</u>
Reviewed: _____	Name/Date _____

Inactive - abandoned

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9117

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/009,096	PCT/US00/13716	16057.0003U2

FORM PCT/DO/EO/905 (371 Formalities Notice)